IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christiane Meyer, et al. Examiner: Hoa T. Le

Serial No.: 10/554,896 **Art Unit:** 1794

Filed: November 1, 2006 Docket: 19261

For: LUMINESCENT CORE/SHELL Dated: March 9, 2010

NANOPARTICLES COMPRISING A LUMINESCENT CORE AND METHOD

OF MAKING THEREOF

Confirm. No.: 9440

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

CITATION OF PRIOR ART UNDER 35 U.S.C. § 301

Sir:

It is requested that the following reference be placed in the above-identified file.

1. International Patent Application No. WO 01/86299 A2 dated November 15, 2001, together with English-language abstract.

CERTIFICATE OF ELECTRONIC FILING

I hereby certify that this correspondence is being deposited with the United States Patent & Trademark Office via Electronic Filing through the United States Patent and Trademark Office e-business website, on March 9, 2010.

Dated: March 9, 2010

Leslie S. Szivos, Ph.D.

The reference was cited in an Office Action received from the Japanese Patent Office. Applicants are submitting a letter received from a foreign associate which describes the relevance of the above-identified reference. Applicants are submitting a copy of the above-cited reference as required by 37 C.F.R. 1.98 (a)(2)(i) and (ii). Please note that an English language equivalent, namely U.S. Patent Publication No. 2003/0032192 dated February 13, 2003, to the other reference cited in the Office Action, namely International Patent Application No. WO 02/20696 A1 dated March 14, 2002, was previously submitted in an Information Disclosure Statement dated January 24, 2006. For completeness, Applicants have also listed the letter received from a foreign associate within the electronic form PTO/SB/08a.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R.§ 301, no fee is required.

Respectfully submitted,

Leslie S. Szivos, Ph.D.

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